



Report of the Director of Corporate Services

Governance and Audit Committee – 5 June 2024

Corporate Risk Overview - Quarter 4 2023/24

Purpose: The report presents an overview of the status of council's corporate risks to provide assurance to the Committee that they are being managed in accordance with the council's risk management policy and framework.

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For Information

1. Background

1.1 This report provides an overview of the status of corporate risks in the Council to give assurance that key risks are being managed and risk management process is being followed.

2. Corporate Risks as at the end of Quarter 4 2023/24

2.1 The following summarises the status of risks recorded in the Corporate Risk Register as at the end of Quarter 4 2023/24.

2.2 There were 7 red status risks in the Corporate Risk Register as at the end of Q4 2023/24:

- Risk ID 153: Safeguarding.
- Risk ID 159: Financial Control: Medium Term Financial Plan delivery.
- Risk ID 222: Digital, Data and Cybersecurity.
- Risk ID 334: Homelessness and Housing Supply (previously Cost of living crisis).
- Risk ID 338. Net Zero 2030 target.

- Risk ID 367. Successful Delivery of the Payroll Function.
- Risk ID 376. Connecting Care.

2.3 During Quarter 4 2023/24:

- All of the corporate risks were recorded as having been reviewed at least once.
- Two risks were added to the Corporate Risk Register:
 - Risk ID 376. Connecting Care.
 - Risk ID 377. Oracle Interfaces.
- No corporate risks were deactivated:
- One risk was escalated to the Corporate Risk Register:
 - Risk ID 367: Successful Delivery of the Payroll Function.
- No corporate risks were de-escalated from the Corporate Risk Register.
- Three corporate risks changed their RAG status:
 - Risk ID 175. Freedom of Information and Subject Access Requests. RED to Amber.
 - Risk ID 360. Development of New Waste Strategy – Transformation Programme. RED to Amber.
 - Risk ID 367: Successful Delivery of the Payroll Function. Amber to RED.
- Four corporate risks changed their residual risk scores:
 - Risk ID 175. Freedom of Information and Subject Access Requests. Reduction in residual risk score from 12 to 9.
 - Risk ID 334. Homelessness and Housing Supply. Reduction in residual risk score from 25 to 20.
 - Risk ID 360. Development of New Waste Strategy – Transformation Programme. Reduction in residual risk score from 12 to 8.
 - Risk ID 367. Successful Delivery of the Payroll Function. Increase in residual risk from 4 to 12.

2.4 Appendix A presents the risks recorded on the council's Corporate Risk Register as at 31 March 2024. The reports for each risk include the following information:

- *Risk title and description*...to summarize and describe the risk.
- *Risk Identification (ID) number*...to identify and search for the risk in the register.
- *Risk level*...Corporate level risks.
- *Responsible Officer*...the officer responsible for managing the risk.
- *Councillor*...the Councillor whose portfolio the risk relates to.
- *Last update*...when the risk was last updated in the risk register.
- *Inherent Risk*... the level of risk before Control Measures are applied.
- *Historical RAG*...the level of residual risk assigned historically each month over a 12-month period (Red – High; Amber – Medium;

Green – Low). The scores will range from 1-very low to 25-very high (calculated as the likelihood score times by the impact score).

- *Current Control Measures*...live actions assigned to control or mitigate the level of risk. *Last update*...the date of the last time the Control Measure was updated in the risk register. *Risk response*...how the risk is controlled. *Projected Completion*...the date the Control Measure is expected to be implemented.
- *Current impact*...monthly assessment on the level of impact (1 = low; 5 = very high) should the risk come into effect. The graph shows the historical level of impact assigned each month over a 12-month period.
- *Current likelihood*...monthly assessment on how likely the risk is to come into effect (1 = low; 5 = very high). The graph shows the historical level of likelihood assigned each month over a 12-month period.

3. Review of Corporate Risk Management Framework.

- 3.1 The review of the Council's risk management arrangements has been completed. The review entailed an assessment of good practice and study of risk management practices from local authorities across Wales. The Chair of the Governance & Audit Committee, Leadership Team and Cabinet were all consulted during the review. Improvements have been identified and changes were made to the Councils risk management framework and policy. Subject to circumstances, it is planned that that the revised risk management policy and framework will be implemented in quarter 2 of 2024/25 using a phased approach.

4. Internal Control Environment and Risk Reporting

- 4.1 The Governance & Audit Committee Chair had requested that Directors attend each quarter on a rotational basis and provide the Committee with presentations regarding the internal control environment, including risk management; this report providing a Corporate Risk overview will coincide with Director's attendance each quarter.

5. Integrated Assessment Implications

- 5.1 The Council is subject to the Equality Act (Public Sector Equality Duty and the socio-economic duty), the Well-being of Future Generations (Wales) Act 2015 and the Welsh Language (Wales) Measure, and must in the exercise of their functions, have due regard to the need to:
- Eliminate unlawful discrimination, harassment and victimisation and other conduct prohibited by the Acts.
 - Advance equality of opportunity between people who share a protected characteristic and those who do not.
 - Foster good relations between people who share a protected characteristic and those who do not.

- Deliver better outcomes for those people who experience socio-economic disadvantage.
- Consider opportunities for people to use the Welsh language.
- Treat the Welsh language no less favourably than English.
- Ensure that the needs of the present are met without compromising the ability of future generations to meet their own needs.

5.1.1 The Well-being of Future Generations (Wales) Act 2015 mandates that public bodies in Wales must carry out sustainable development. Sustainable development means the process of improving the economic, social, environmental and cultural well-being of Wales by taking action, in accordance with the sustainable development principle, aimed at achieving the 'well-being goals'.

5.1.2 Our Integrated Impact Assessment (IIA) process ensures we have paid due regard to the above. It also takes into account other key issues and priorities, such as poverty and social exclusion, community cohesion, carers, the United Nations Convention on the Rights of the Child (UNCRC) and Welsh language.

5.1.3 This report is a 'for information' report and is not relevant for an IIA.

6. Legal Implications

6.1 There are no legal implications.

7. Financial Implications

7.1 There are no financial implications.

Background papers: None.

Appendices: Appendix A – Corporate Risks as of 31 March 2024.