

Audit Title	Risk Rating	Status as at 01/04/2024	Corporate Priority	Days
<b>Level 1 – Cross Cutting Reviews – Council Governance &amp; Control</b>				
Corporate Governance Review	Med/High	Planned	Cross Cutting	25
Risk Management	Med/High	Planned	Cross Cutting	15
Information Governance	Med	Planned	Cross Cutting	20
Corporate Performance Management	Med	Planned	Cross Cutting	15
FOI/SAR/EIR	Med	Planned	Cross Cutting	15
Oracle Cloud / Fusion Project**	New	In Progress	Cross Cutting	10
New Risk Management System & Policy Review	New	Planned	Cross Cutting	15
Debt Recovery	New	Planned	Cross Cutting	15
Total				130
<b>Level 2 – Fundamental Systems - Section 151 Officer Assurance</b>				
<b>Financial Services – (1) Annual Audit, (2) 2-yearly Audit, (2+Follow-up)- 2 yearly Audit plus follow-up.</b>				
Employee Services (2)	Med/High	Planned	Section 151 Assurance	30
Accounts Receivable (1)	High	Planned	Section 151 Assurance	35
Business Rates (2+Follow-up)	Med	Planned	Section 151 Assurance	20
Treasury - Borrowing & Investments (2)	Med	Planned	Section 151 Assurance	18
Accounts Payable (2 + Follow-up)	Med	Planned	Section 151 Assurance	35
Cash (2)	Med	Planned	Section 151 Assurance	30
Council Tax (2 + Follow-up)	Med/High	Planned	Section 151 Assurance	30
Capital Accounting *	Med	Planned	Section 151 Assurance	25
Main Accounting System (2)	Med	Planned	Section 151 Assurance	20
Total				243
<b>Level 3 – Service Level Audits – Other Assurance</b>				
Education Planning & Resources	-	See Appendix 3	Education	120
Vulnerable Learner Service	-	See Appendix 3	Education, Safeguarding & Poverty	20
Education Grants & Other	-	See Appendix 3	Education, Safeguarding & Poverty	33
Child & Family Services	-	See Appendix 3	Safeguarding	23
Adult Services	-	See Appendix 3	Safeguarding	20
Adult Services: Tackling Poverty & Directorate Services	-	See Appendix 3	Safeguarding	35
Adult Services: Partnerships & Commissioning	-	See Appendix 3	Safeguarding	30
Building Services	-	See Appendix 3	Economy & Infrastructure, Safeguarding	40
Property Services	-	See Appendix 3	Economy & Infrastructure, Safeguarding	5
Waste Management & Parks	-	See Appendix 3	Economy & Infrastructure, Resource & Biodiversity	25
Highways & Transportation	-	See Appendix 3	Economy & Infrastructure	66
Housing & Public Health	-	See Appendix 3	Poverty, Safeguarding	89

Cultural Services	-	See Appendix 3	Economy & Infrastructure	60
Planning & City Regeneration	-	See Appendix 3	Economy & Infrastructure, Resources & Biodiversity	30
Financial Services	-	See Appendix 3	Section 151 Assurance	65
Digital & Customer Services	-	See Appendix 3	Transformation & Council Development	30
Legal, Democratic Services & Business Intelligence	-	See Appendix 3	Monitoring Officer Assurance	20
Commercial Services	-	See Appendix 3	Section 151 Assurance	3
Human Resources & Service Centre	-	See Appendix 3	Transformation & Council Development	51
Contract Audits	-	See Appendix 3	Transformation & Infrastructure	33
Computer Audits	-	See Appendix 3	Transformation & Council Development	65
Projects & Special Investigations	-	See Appendix 3	Section 151 Assurance	65
Miscellaneous Audits	-	See Appendix 3	Section 151 Assurance	15
<b>Total</b>				<b>943</b>
<b>Grand Total</b>				<b>1316</b>
<b>Other Activities</b>				
Staff Training				48
Holidays, Sickness Absence, Special Leave				652
Admin, Planning, Control, Clerical Support, Audit Programmes, Appraisals				205
Contingency				45
Vacancy Provision				100
<b>Total</b>				<b>1050</b>
<b>Grand Total</b>				<b>2366</b>

\* Audits deferred from 2023/24 plan.

\*\* Audits in progress at year end from the 2023/24 plan.