

Audit Committee

Summary of the overall status of risk within the Council – Quarter 1 2019/20

The following report summarises the overall status of risk within Swansea Council.

Overall Risk Status – Quarter 1 2019/20

The table below contrasts the overall risk status as at Quarter 4 2018/19 with Quarter 1 2019/20.

	Low Risk	Medium Risk	High Risk
Quarter 4 18/19	24	138	32
Quarter 1 19/20	20	129	29
+ - change	-4	-9	-3

Summary – changes to the Risk Registers

- **97.4%** of the risks that were in place as at Quarter 4 2018/19 were recorded as having been reviewed in Quarter 1 2019/20 (189 of 194 risks were recorded as being reviewed).
- This is an improvement over the position at Quarter 4 2018/19 where 85.6% of risks had been reviewed since Quarter 3 2018/19.



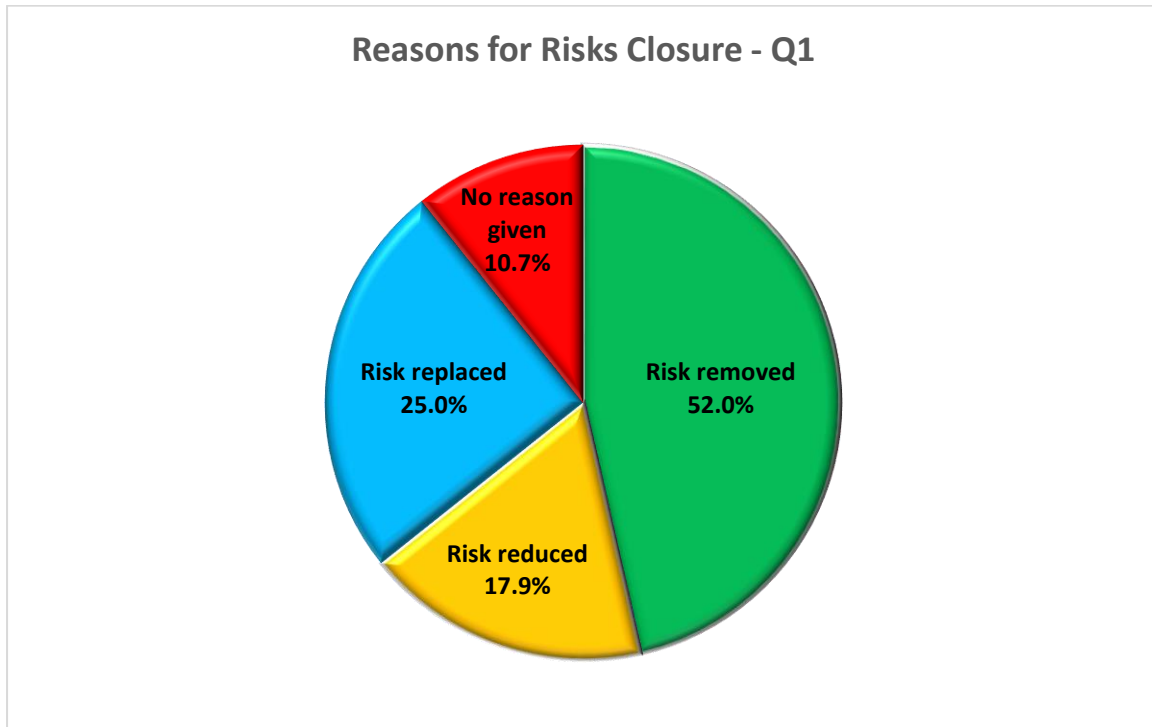
- **12** new risks were added to the registers.

Low Risk	Medium Risk	High Risk
0	8	4

- **28** risks were closed.

Low Risk	Medium Risk	High Risk
6	20	2

- **25** out of the **28** risks (**89.3%**) that were closed had reasons/comments for doing so recorded, compared to **18%** in Quarter 4 2018/19. Reasons for closure displayed in the chart below:



- **3** risks were escalated in Q1 whereas no risk was escalated in Quarter 4 2018/19.
- The overall RAG status of **12** risks were changed.
- Of these **10** showed a reduction in risk level and **2** showed an increase.

Other observations

- Controls and actions are in place for all risks on the registers.
- CMT are reviewing the Corporate Risks each month.
- A new risk register ICT application is in development.
- The annual review of Corporate Risks took place as planned in May 2019. A new strategic Risk will be added to the Corporate Risk Register on the capacity of the highways and transport infrastructure to meet future demand.

Corporate & Directorate Risk Registers

- The Corporate Risk Register and Directorate Risk Registers dated 11/07/19 are attached at **Appendix B** and **Appendix C** respectively.